

WAC 460-23B-050 Duty to update application. A salesperson who has been issued a license has a duty to update his or her application. If an event occurs that causes a salesperson's application to be inaccurate, the salesperson shall file an amended Form U-4 with the Securities Division within thirty days of the occurrence of the event.

[Statutory Authority: RCW 21.20.070 and 21.20.450. WSR 95-16-026, § 460-23B-050, filed 7/21/95, effective 8/21/95.]